



EQM EMERGING MARKETS FINTECH INDEX EMFINQ

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Introduction

Index Objective

The EQM Emerging Markets FinTech Index tracks the performance of a portfolio of Emerging Market and Frontier Market companies with significant exposure to financial technology (FinTech) solutions and applications.

Highlights

The Index is equal-weighted, subject to constraints, and consists of Fin Tech companies in the following industries or business segments:

- Payments
- Banking
- Lending and Credit
- Insurance
- Investments & Trading
- Digital assets (digital wallets, mining, exchange platforms)

Dates

Reference Dates: Same as Weight Date.

Reconstitution Dates: Indexes reconstituted quarterly on the first Wednesday in February, May, August, and November.

Weight Date: Five business days prior to the close of trading on the Reconstitution Date.

Rebalance Dates: Indexes rebalanced quarterly on the first Wednesday in February, May, August, and November.

Supporting Documents

This methodology is meant to be read in conjunction with supporting documents providing greater detail with respect to the policies, procedures and calculations described herein.

The list of the main supplemental documents for this methodology can be found in the Methodologies and Governance tabs on the [Index Resources](#) page as follows:

Supporting Documents
Index Maintenance Policy
Index Governance
Index Policies
Methodology Policies
Glossary
Index Change and Consultation Policy

Eligibility Criteria and Index Construction

Universe

Emerging Market and Frontier Market domiciled equities listed on a regulated stock exchange in the form of shares tradeable for foreign investors without restrictions.

Index Construction

Constituent Selection

To qualify for selection companies must generate at least 50% of their revenue from financial technology (FinTech), technology-enabled financial applications disrupting traditional financial services and banking business models. FinTech companies provide and develop mobile applications, online platforms, enterprise software, and/or other technology applications for the following industries or business segments:

- Payments
- Banking
- Lending and Credit
- Insurance
- Investments & Trading
- Digital assets (digital wallets, mining, exchange platforms)

Additionally, companies have the following requirements:

- Minimum market capitalization of 100 million USD.
- Average daily traded value (ADTV) over the last 6 months of 1 million USD for new constituents, or 500 thousand USD for current constituents.
- China headquartered companies will be included only as developed market listed, exchange traded ADR versions, or Hong Kong exchange traded versions if available.
- Developed market domiciled companies are eligible for inclusion provided more than 70% of their revenues are derived from Emerging Market and/or Frontier Market countries. These companies may not comprise more than 10% of the index weight in aggregate.

Constituent Weightings & Constraints

Constituents are equal weighted. The maximum weighting of any country shall not exceed 25%, with any additional weight distributed equally among the remaining constituents.

Index Maintenance

Rebalancing and Reconstitution

The Indexes are rebalanced on the “Rebalance Date” and additionally reconstituted on the “Reconstitution Date”. Pricing used in share weights used for reconstitutions are as of the “Weight Date”. Share weights for the rebalanced Indexes are computed as of the “Weight Date”. Changes to the

Indexes related to the rebalances are as of the “Rebalance Date”. Additions are only made on reconstitution dates.

Corporate Actions

Please refer to the Index Maintenance Policy document for information on Corporate Action processing.

Index Information

Index history availability, base dates and base values are shown in the table below.

Index	Price Index	Base Date	Base Value	Total Return Index	Base Date	Base Value
EQM Emerging Markets FinTech Index	EMFINQP	11/02/2018	100	EMFINQ	11/02/2018	100

Methodology Updates and Changes¹

Date	Version	Previous	New
May 2024	1.0.0	Version 1.3 dated 19 Jan 2022	Methodology converted to new VettaFi format

Index Calculation

Please refer to the Index Maintenance Policy document for information on index calculations.

Index Governance

The index is governed and managed by a VettaFi Index Committee for the purpose of meeting the goals of the index. For more information, please refer to the Index Governance document.

Index Policies

Please refer to the Index Policies document for information regarding Announcements, Holiday Schedules, Unexpected Exchange Closures, and Recalculation Policy.

Contact Information

For any questions regarding an index, please contact: index.production@vetafi.com

¹ As of May 2024