



Index Rules and Methodology

| <u>Index Name</u> | <u>Ticker</u> |
|--|---------------|
| Industry: | |
| Alerian Energy Equipment & Services Index | SNEESX |
| Alerian Oil, Gas & Consumable Fuels Index | SNOGCFX |
| Sub-Industry: | |
| Alerian Coal & Consumable Fuels Index | SNCCFX |
| Alerian Integrated Oil & Gas Index | SNIOGX |
| Alerian Oil & Gas Drilling Index | SNOGDY |
| Alerian Oil & Gas Exploration & Production Index | SNOGEPX |
| Alerian Oil & Gas Equipment & Services Index | SNOGESX |
| Alerian Oil & Gas Refining & Marketing Index | SNOGRMX |
| Alerian Oil & Gas Storage & Transportation Index | SNOGSTX |

Table of Contents

| | |
|--------------------------------------|---|
| Index Objective | 3 |
| Highlights | 3 |
| Dates | 3 |
| Supporting Documents | 4 |
| Index Construction | 4 |
| Universe | 4 |
| Selection..... | 4 |
| Weighting..... | 5 |
| Rebalancing and Reconstitution | 6 |
| Index Maintenance | 6 |
| Corporate Actions: | 6 |
| Index Calculation..... | 6 |
| Index Governance | 6 |
| Index Policies | 6 |
| Index Information | 6 |
| Contact Information..... | 7 |
| Disclaimer..... | 7 |

Index Objective

The Alerian Industry and Sub-Industry Index Family are indexes that measure the performance of select individual US Energy Industries and Sub-industries.

Highlights

The indexes are composed of constituents in the broad US market that are part of individual energy Industries or sub-industries.

Dates

Snapshot Dates: Last business date of month prior to Rebalance Month.

Weight Dates: Thursday prior to the 2nd Friday of the Rebalance Month.

Rebalance Dates: Rebalanced quarterly on the 3rd Friday of last month in calendar quarter.

Reconstitution Dates: Reconstituted semi-annually on the 3rd Friday of last month in semi-annual calendar period.

Supporting Documents

This methodology is meant to be read in conjunction with supporting documents providing greater detail with respect to the policies, procedures and calculations described herein.

The list of the main supplemental documents for this methodology can be found in the Methodologies and Governance tabs on the [Index Resources](#) page as follows:

| |
|--------------------------------------|
| Supporting Documents |
| Index Maintenance Policy |
| Index Governance |
| Index Policies |
| Methodology Policies |
| Glossary |
| Index Change and Consultation Policy |

Index Construction

Universe

S-Network US Equity WR 3000 Index (SNR3000)

Selection

All Universe constituents with the corresponding GICS classification in the following table:

| Index | Name | GICS Code | Level | Level Name |
|---------|--|-----------|--------------|------------------------------------|
| SNOGDX | Alerian Oil & Gas Drilling Index | 10101010 | Sub-industry | Oil & Gas Drilling |
| SNOGESX | Alerian Oil & Gas Equipment & Services Index | 10101020 | Sub-industry | Oil & Gas Equipment & Services |
| SNIOGX | Alerian Integrated Oil & Gas Index | 10102010 | Sub-industry | Integrated Oil & Gas |
| SNOGEPX | Alerian Oil & Gas Exploration & Production Index | 10102020 | Sub-industry | Oil & Gas Exploration & Production |
| SNOGRMX | Alerian Oil & Gas Refining & Marketing Index | 10102030 | Sub-industry | Oil & Gas Refining & Marketing |
| SNOGSTX | Alerian Oil & Gas Storage & Transportation Index | 10102040 | Sub-industry | Oil & Gas Storage & Transportation |
| SNCCFX | Alerian Coal & Consumable Fuels Index | 10102050 | Sub-industry | Coal & Consumable Fuels |

| | | | | |
|---------|---|--------|----------|-----------------------------|
| | | | | |
| SNEESX | Alerian Energy Equipment & Services Index | 101010 | Industry | Energy Equipment & Services |
| SNOGCFX | Alerian Oil, Gas & Consumable Fuels Index | 101020 | Industry | Oil, Gas & Consumable Fuels |

Weighting

Each index follows these steps.

All stocks selected for inclusion in each index are initially weighted by the company float market cap.

The weights may be adjusted based on index constituent count:

- 1- 5 or less constituents: no adjustments are made
- 2- 6 – 14 constituents: Individual weights are capped at 20%
- 3- 15 or more constituents: weights are capped at 20% and the sum of weights over 5% must not exceed 45%.

Any capped weights are redistributed proportionally.

Rebalancing and Reconstitution

The Indexes are rebalanced on the “Rebalance Date” and additionally reconstituted on the “Reconstitution Date”.

Data used for reconstitutions are as of the “Snapshot Date”.

Share weights for the rebalanced Indexes are computed as of the “Weight Dates”.

Changes to the Indexes related to the rebalances are as of the “Rebalance Date”.

Index Maintenance

Please refer to the Index Maintenance Policy document for information on Corporate Actions, Data Sourcing, Pricing, Financial distress, Index Dissemination, and Data Correction Policy.

Corporate Actions:

Please refer to the Index Maintenance Policy document for information on Corporate Action processing.

Index Calculation

Please refer to the Index Maintenance Policy document for information on index calculations.

Index Governance

The index is governed and managed by a VettaFi Index Committee for the purpose of meeting the goals of the index. For more information, please refer to the Index Governance document.

Index Policies

Please refer to the Index Policies document for information regarding Announcements, Holiday Schedules, Unexpected Exchange Closures, and Recalculation Policy.

Index Information

| Index | Price Index | Base Date | Base Value | Total Return Index | Base Date | Base Value |
|--|-------------|------------|------------|--------------------|------------|------------|
| Alerian Energy Equipment & Services Index | SNEESX | 12/17/1999 | 1000 | SNEESXT | 12/17/1999 | 1000 |
| Alerian Oil, Gas & Consumable Fuels Index | SNOGCFX | 12/17/1999 | 1000 | SNOGCFXT | 12/17/1999 | 1000 |
| Alerian Coal & Consumable Fuels Index | SNCCFX | 6/17/2005 | 1000 | SNCCFXT | 6/17/2005 | 1000 |
| Alerian Integrated Oil & Gas Index | SNIOGX | 12/17/1999 | 1000 | SNIOGXT | 12/17/1999 | 1000 |
| Alerian Oil & Gas Drilling Index | SNOGDY | 12/17/1999 | 1000 | SNOGDXT | 12/17/1999 | 1000 |
| Alerian Oil & Gas Exploration & Production Index | SNOGEPX | 12/17/1999 | 1000 | SNOGEPXT | 12/17/1999 | 1000 |
| Alerian Oil & Gas Equipment & Services Index | SNOGESX | 12/17/1999 | 1000 | SNOGESXT | 12/17/1999 | 1000 |
| Alerian Oil & Gas Refining & Marketing Index | SNOGRMX | 12/17/1999 | 1000 | SNOGRMXT | 12/17/1999 | 1000 |

| | | | | | | |
|--|---------|-----------|------|----------|-----------|------|
| Alerian Oil & Gas Storage & Transportation Index | SNOGSTX | 6/17/2005 | 1000 | SNOGSTXT | 6/17/2005 | 1000 |
|--|---------|-----------|------|----------|-----------|------|

Contact Information

For any questions regarding an index, please contact:

Disclaimer

The Indices are proprietary to VettaFi. No use or publication may be made of an Index, or any of its provisions or values, without the prior written consent of VettaFi. VettaFi is not responsible for any errors or omissions, regardless of the cause, for the results obtained from the use of the Content. In no event shall VettaFi be liable to any party for any direct, indirect, incidental, exemplary, compensatory, punitive, special or consequential damages, costs, expenses, legal fees, or losses (including, without limitation, lost income or lost profits and opportunity costs) in connection with any use of the Content.