



Shares Outstanding and IWF (Investible Weight Factor) Data Obtained from Primary Sources

Source Documents –

The following documents are used to calculate shares outstanding and investable weight factors:

- Press releases
- Annual reports pursuant to Sections 13 and 15(d) of the Securities Exchange Act of 1934 (10-K, 20-F)
- Annual reports pursuant to Parts 4, 5, and 6 of National Instrument 51-102 (AIF)
- Quarterly reports pursuant to Sections 13 and 15(d) of the Securities Exchange Act of 1934 (10-Q, 6-K)
- Quarterly reports pursuant to Parts 4 and 5 of National Instrument 51-102 (IFS)
- Certain registration statements pursuant to Rules 415 and 462 of the Securities Act of 1933 (S-1, S-3)
- Prospectuses and prospectus supplements pursuant to Rule 424(b)
- Proxy statements pursuant to Section 14(a) of the Securities Exchange Act of 1934 (DEF 14A)

Current reports pursuant to Sections 13 and 15(d) of the Securities Exchange Act of 1934 (8-K, 6-K) Schedules pursuant to the Securities Exchange Act of 1934 (13D, 13G), forms pursuant to Section 16(a) of the Securities Exchange Act of 1934 (4), and certain registration statements pursuant to the Securities Act of 1933 (S-8) are not used in the aforementioned calculations.

Shares Outstanding –

Shares included in the calculation of shares outstanding include, but are not limited to, common shares, subordinated shares, special class shares, and paid-in-kind shares. Shares excluded from the calculation of shares outstanding are general partner (“GP”) shares, management incentive shares, and tradable, non- common shares.

This number generally reflects that which is represented by the latest annual or quarterly report, unless otherwise indicated by a press release, Securities and Exchange Commission (“SEC”) document, or Ontario Securities Commission document filed

pursuant to a transaction. The following is a non-exhaustive list of qualifying transactions and the point at which they are reflected¹ in a security's shares outstanding:

| Qualifying Transaction | Reflected in Units Outstanding |
|---|---|
| Follow-on public equity offerings | Time of pricing |
| Over-allotment option exercises | Earlier of time of press release or current report |
| Private investments in public equity ("PIPEs") ² | Time of closing |
| Share repurchases | Earlier of time of press release or current report |
| At-the-market ("ATM") equity offerings | As reported in periodic reports, prospectuses, or proxies |

Investable Weight Factors –

A security's investable weight factor ("IWF") is calculated as follows. (Shares outstanding – Non-common shares – Unregistered common shares – Insider-owned common shares) / Shares outstanding³.

Review:

| | |
|-------------|--------------------------------------|
| Version | 1.0.0 |
| Reviewed by | Index Governance and Index Committee |
| Review Date | Nov 2023 |
| Next Review | Nov 2024 |

Changes:

| Version | Date | Author/Editor | Summary of Change |
|---------|----------|-----------------|-------------------|
| 1.0.0 | Nov 2023 | Moshe Greenberg | Policy Creation |

¹ The word "reflected" here means for index share calculation and constituent selection purposes only. Changes to shares outstanding and IWFs, as it relates to calculating the index, only occur after market close on rebalancing dates, stock dividends and splits excepted.

² Unless a lock-up period has been specified, common shares issued in a PIPE transaction are considered to be freely tradable upon the earlier of (a) the effectiveness date of the accompanying SEC registration statement or (b) 180 days after the transaction close, pursuant to SEC Rule 144.

³ For the purposes of this calculation, insider-owned common shares are those which are included in "Security Ownership of Certain Beneficial Owners and Management" of a company's latest annual report or proxy. This number is frequently expressed as, or is similar to, "All directors and named executive officers as a group", plus common shares owned by GPs and/or persons or entities with board representation. Though insiders file Forms (4) and Schedules (13) to indicate changes to their ownership position between annual reports and proxies, they are not factored into the calculation. Other documents (such as press releases, 8-Ks, and prospectus supplements) indicating changes to ownership by a GP are factored into the calculation immediately.